

**MASSACHUSETTS CLIENTS' SECURITY BOARD
OF THE SUPREME JUDICIAL COURT**

CONFIDENTIAL FINANCIAL STATEMENT

All claimants are required by Clients' Security Board Rule 5(a)(2) to complete this form. DO NOT LEAVE ANY QUESTION UNANSWERED. If additional space is needed for any answer, please attach an additional sheet.

| | |
|---|---|
| Name: | Age: |
| Address: | No. of Dependents (children or other family members): |
| Occupation: Employer: Employer's Address and phone number: | Spouse's Occupation: Spouse's Employer: Employer's address and phone number: |
| Your Estimated Annual Employment Income: (check one) <input type="checkbox"/> Under \$20,000 <input type="checkbox"/> between \$20,000 - \$40,000 <input type="checkbox"/> between \$40,000-\$100,000 <input type="checkbox"/> between \$100,000-300,000 <input type="checkbox"/> between \$300,000 - \$900,000 <input type="checkbox"/> over \$900,000 | Estimated Current Monthly Expenses: (check one) <input type="checkbox"/> Under \$500 <input type="checkbox"/> between \$500 - \$1,000 <input type="checkbox"/> between \$1,000 - \$5,000 <input type="checkbox"/> over \$5,000 |
| Spouse's Estimated Annual Employment Income: (check one) <input type="checkbox"/> Under \$20,000 <input type="checkbox"/> between \$20,000 - \$40,000 <input type="checkbox"/> between \$40,000-\$100,000 <input type="checkbox"/> between \$100,000 - 300,000 <input type="checkbox"/> between \$300,000 - \$900,000 <input type="checkbox"/> over \$900,000 <input type="checkbox"/> N/A | State the approximate amount of money you have spent to recover funds misappropriated by your former lawyer: <div style="text-align: right;">\$ _____</div> |
| Your (and your Spouse's) Estimated Annual Additional Income (dividends, interest, trusts, alimony, annuities, pension, retirement, disability, other): (check one) <input type="checkbox"/> Under \$10,000 <input type="checkbox"/> between \$10,000 - \$50,000 <input type="checkbox"/> between \$50,000 - \$100,000 <input type="checkbox"/> over \$100,000 <input type="checkbox"/> N/A <div style="border-bottom: 1px solid black; width: 100%;"></div> <div style="border-bottom: 1px solid black; width: 100%;"></div> <div style="text-align: center;">Please explain</div> | Please describe non-monetary losses sustained as a result of the misappropriation of funds by your former lawyer: <div style="border-bottom: 1px solid black; width: 100%;"></div> <div style="border-bottom: 1px solid black; width: 100%;"></div> <div style="border-bottom: 1px solid black; width: 100%;"></div> <div style="border-bottom: 1px solid black; width: 100%;"></div> |
| Assessed Value of Your Real Estate: \$ _____ Outstanding Mortgage(s) on that Real Estate: \$ _____ | Estimated Value of ALL Other Assets owned by you or your spouse (bank accounts, vehicles, I.R.A. or retirement assets, jewelry, etc.): (check one) <input type="checkbox"/> Under \$30,000 <input type="checkbox"/> between \$30,000 - \$100,000 <input type="checkbox"/> over \$100,000 <input type="checkbox"/> N/A <div style="text-align: center;">Please explain</div> |

If there are additional factors or information not already mentioned above that more accurately reflect on your financial situation, please attach a separate statement.

I certify under the penalties of perjury that everything set forth in this and any attachment is true to the best of my knowledge and belief.

Date: _____

Signature: _____